Central Bank of Ireland - UNRESTRICTED

Dear Ms Randel,

Thank you for contacting the Central Bank of Ireland.

I would advise you to submit a report via Protected Disclosure with the details available at <u>https://www.centralbank.ie/regulation/protected-disclosures-whistleblowing</u> as this provides a mechanism for reporting of suspected breaches of financial services legislation as well as potential infringements of the market abuse regulations.

I hope that I have been of assistance.

Yours Sincerely,

Philip Bolger

Public Contact Unit | Communication Division Central Bank of Ireland

From: Randel, Vera

Sent: 07 August 2020 17:38

To: Enquiries

Cc: Lenz, Andreas ; Rieck, Bastian

Subject: IFSRA - Potential Investigation

Dear Sirs,

Through one of our clients, we have become aware of information which suggests that there are significant irregularities in connection with the issue of two different series of bonds (Secured Medium Term Note Programmes) by a British company (PLC). These bonds have been listed, inter alia, on the Irish Stock Exchange (Euronext Dublin) since 2019.

According to the information available to us, the Irish Financial Services Regulatory Authority (Financial Regulator - IFSRA) is the competent financial supervisory authority in this respect. Against this background, we would kindly ask you to inform us in what form and to whom specifically we can encourage the commencement of investigations and, where appropriate, appropriate supervisory measures to be taken by the IFSRA.

We would in particular be grateful to be informed of a specific contact person and, if necessary, information on potential further action to be taken.

You are welcome to contact the signatory by e-mail or at the telephone number indicated below. Yours sincerely,

Vera Randel

Dr. Vera Randel

Rechtsanwältin

Fachanwältin für Handels- und Gesellschaftsrecht

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